

PATENT  
81880.0096

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tsuyoshi TANAKA et al.

Serial No: 09/821,539

Filed: March 28, 2001

For: OPTICAL DEVICE MODULE

Art Unit: 2874

Examiner: Michael L. Stahl

AMENDMENT UNDER 37 C.F.R. § 1.116

Box AF  
Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this correspondence  
is being transmitted via facsimile to (703)  
872-9319: Commissioner for Patents,  
Washington D.C. 20231, on

April 28, 2003

Date of Deposit

Diane Zynn

Name

Signature

04/28/03

Date

Dear Sir:

In response to the Final Office Action dated January 30, 2003, please amend  
the above-referenced application as follows:

FAX RECEIVED

**HOGAN & HARTSON L.L.P.**

500 SOUTH GRAND AVENUE  
SUITE 1900  
LOS ANGELES, CA 90071

Tel.: (213) 337-6700  
Fax: (213) 337-6701

**IMPORTANT NOTICE**  
**TELECOPY/FACSIMILE COVER LETTER**

WASHINGTON, DC  
BRUSSELS  
BUDAPEST\*  
LONDON  
MOSCOW  
PARIS\*  
PRAGUE\*  
TOKYO  
WARSAW  
BALTIMORE, MD  
BOULDER, CO  
COLORADO SPRINGS, CO  
DENVER, CO  
IRVINE, CA  
MCLEAN, VA  
MIAMI, FL  
NEW YORK, NY (PARK AVE)  
NEW YORK, NY (THIRD AVE)  
ROCKVILLE, MD

\*Affiliated Office

FAX RECEIVED

TO: U.S. Patent and Trademark Office  
Examiner: Michael J. Stahl  
Art Unit: 2874

APR 28 2003

DATE: April 28, 2003

TECHNOLOGY CENTER 2800

FROM: Lawrence J. McClure

TIME: \_\_\_\_\_

TOTAL NO. OF PAGES, INCLUDING COVER: 9

The attached information is CONFIDENTIAL and is intended only for the use of the addressee(s) named above. If the reader of this message is not the intended recipient(s) or the employee or agent responsible for delivering the message to the intended recipient(s), please note that any dissemination, distribution or copying of this communication is strictly prohibited. Anyone who receives this communication in error should notify us immediately by telephone and return the original message to us at the above address via the U.S. Mail.

**MESSAGE:**

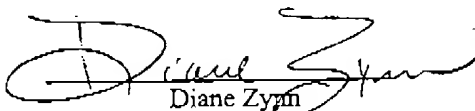
RE: U.S. Patent Application Serial No.:09/821,539; Our Ref. 81880.0096

I hereby certify that the following documents:

- Amendment Under 37 C.F.R. § 1.116
- Amendment Transmittal Letter

are being facsimile transmitted to the Commissioner for Patents, Washington, D.C. 20231, for filing in the above application.

April 28, 2003

  
Diane Zynn

TELECOPY/FAX NUMBER: 703-872-9319 Art Unit 2874

CLIENT NUMBER: 81880.0096

ATTORNEY BILLING NUMBER: 1966

CONFIRMATION NUMBER: (703) 305-1520 (please return fax to Diane Zynn)

FORM PTO-1083

81880.0096

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tsuyoshi TANAKA et al.

Serial No: 09/821,539

Filed: March 28, 2001

For: OPTICAL DEVICE MODULE

Art Unit: 2874

Examiner: Michael J. Stahl

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Certificate of Express Mail, Label No. EL715816810US is enclosed.
- ☐ Submission of Proposed Drawing Amendment For Approval by Examiner with red-lined Figures 2 and 5-7 are enclosed.
- ☐ An Associate Power Of Attorney is enclosed.
- ☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT		(Col. 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Col. 3) PRESENT EXTRA*	LG/SM \$ ENTITY FEE	ADD'L FEE DUE
TOTAL CLAIMS FEE	3	-	20	0	LG=\$18 SM=\$9	\$ 0
INDEPENDENT CLAIMS FEE	1	-	3	0	LG=\$84 SM=\$42	\$ 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS					LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140	\$ 0
TOTAL						\$ 0

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box on Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ A check in the amount of \$ 0 to cover the additional claims fee is enclosed. A copy of this sheet is enclosed.
- ☐ A check in the amount of \$ 0 to cover the extension fee is enclosed. A copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any deficiencies of fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17

Respectfully submitted,

HOGAN &amp; HARTSON L.L.P.

By:

Lawrence J. McClure

Registration No. 44,228

Attorney for Applicant(s)

Date: April 28, 2003

Biltmore Tower  
500 South Grand Avenue, Suite 1900  
Los Angeles, California 90071  
Telephone: 213 337-6700  
Facsimile: 213 337-6701